

Docket No.: 50103-569

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:	Customer Number: 20277
	:	
Hong Ying WANG, et al.	:	Confirmation Number:
	:	
Serial No.: Divisional of Appl. No.		
09/852,084	:	Group Art Unit:
	:	
Filed: September 16, 2003	:	Examiner:
	:	
For:		DEFECT-FREE PATTERNING OF SOL-GEL-COATED SUBSTRATES FOR
		MAGNETIC RECORDING MEDIA

INFORMATION DISCLOSURE STATEMENT

Mail Stop
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

The references were cited by or submitted to the U.S. Patent and Trademark Office in parent application Serial No. 09/852,084, filed May 10, 2001, which is relied upon for an earlier

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filing date under 35 USC 120. Thus, copies of these references are not attached. 37 CFR 1.98(d).

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

A handwritten signature in black ink, appearing to read "John A. Hankins", is written over a circular stamp.

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)				ATTY. DOCKET NO. 50103-569		SERIAL NO. Divisional of Appl. No. 09/852,084	
				APPLICANT Hong Ying WANG, et al.			
				FILING DATE September 16, 2003		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		US 6,086,961	07/11/00	Bonyhard			
		US 4,522,659	06/11/85	Prusak			
		US 5,310,333	05/10/94	Sato, et al.			
		US 5,861,113	01/19/99	Choquette, et al.			
		US 4,539,079	09/03/85	Okabayashi			
		US 5,503,963	04/02/96	Bifano			
		US 5,480,596	01/02/96	Okubo, et al.			
		US 4,723,903	02/09/88	Okazaki, et al.			
		US 5,669,979	09/23/97	Elliott, et al.			
		US 5,756,130	05/26/98	Umebayashi, et al.			
		US 4,953,385	09/04/90	Aoki, et al.			
		US 4,262,875	04/21/81	Nyman, et al.			
		US 4,430,401	02/07/84	Wilkinson			
		US 5,048,745	09/17/91	Sato, et al.			
FOREIGN PATENT DOCUMENTS							
EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes-Number 4 -Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation	
						Yes	No
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
		R.L. Roncone, et al., "Embossed Gratings In Sol-Gel Waveguides: Pre-Emboss Heat Treatment Effects" Elsevier Science Publishers, B.V. (North Holland), 1991, pages 111-117					
		Ramos, et al., "Embossable Grating Couplers for Planar Waveguide Optical Sensors" Analytical Chemistry, Vol. 68, No. 7, April 1, 1996, page 1245-1249					
		Lukosz, et al, Embossing Technique for Fabricating Integrated Optical Components in hard Inorganic Waveguiding Materials" Optics Letters, October 1983, Vol. 8, No. 10, pages 537-539					
		Christensen, et al, "Comparison Of Robust Coupling Techniques For Planar Waveguide Immunosensors" SPIE, Vol 1796, 1992, pages 20-25					
EXAMINER				DATE CONSIDERED			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.